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TRANSMITTAL FORM	Filing Date First Named Inventor	October 30, 2003 Mark R. Kreitz		
(to be used for all correspondence after initial l	Art Unit Examiner Name			
Total Number of Pages in This Submission	16 Attorney Docket Number	SPS 102/105		
	ENCLOSURES (Check all that	apply)		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Executed Declaration for Patent Application; Statement Under 37 CFR 3.73(b); Supplemental Application Data Sheet; Return Postcard		
SIGNA	TURE OF APPLICANT, ATTORNE	EY, OR AGENT		
Firm or Rivka D. Monheit, Esq., Reg. No. 48,731 Holland & Knight LLP Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400 Signature Rivka D. Monheit Date January 13, 2004				
CE	ERTIFICATE OF TRANSMISSION	/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.				
Typed or printed name Andreen Barr	rett			
Signature Alue	Danet	Date January /3, 2004		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

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FEE	TRANSMITT	۱L
1	for FY 2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 65.00TOTAL AMOUNT OF PAYMENT

Compl t if Known				
Application Number	10/696,829			
Filing Date	October 30, 2003			
First Named Inventor	Mark R. Kreitz			
Examiner Name				
Art Unit				
Attorney Docket No.	SPS 102/105			

Check	METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
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(Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Rivka D. Monheit, Esq. 48,731 Telephone (404) 817-8514 (Attorney/Agent) January 13, 2004 Date Signature Monheit

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

NANOPARTICULATE BIOACTIVE AGENTS

Title of Invention

As the below named inventor(s), I/we declare that:				
This declaration is directed to:				
The attached application, or				
Application No. 10/696,829 , filed on October 30, 2003				
as amended on(if applicable);				
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;				
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;				
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.				
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				
FULL NAME OF INVENTOR(S)				
Inventor one: Mark R. Kreitz				
Signature:Citizen of:USA				
Inventor two: Yong S. Jong				
Signature:Citizen of:Korea				
Inventor three: Edith Mathiowitz				
Signature: Edil Methows Citizen of: USA				
Inventor four: David J. Enscore				
Signature:Citizen of: USA				
Additional inventors or a legal representative are being named onladditional form(s) attached hereto.				
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.				

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

NIANODADTICI II ATE DIOACTIVE ACENTO

Title of Invention NANOPARTICULATE BIOACTIVE AGENTS
As the below named inventor(s), I/we declare that:
This declaration is directed to:
The attached application, or
Application No. 10/696,829, filed onOctober 30, 2003,
as amended on(if applicable);
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.
ELL MANE OF INVENTORIO
FULL NAME OF INVENTOR(S) Inventor one: Mark R. Kreitz
Signature:Citizen of:USA
Inventor two: Yong S. Jong
Signature:Citizen of:Korea
Inventor three: Edith Mathiowitz
Signature: Edil Mithiau Citizen of: USA
Inventor four: David J. Enscore
Signature:Citizen of: USA
Additional inventors or a legal representative are being named on 1 additional form/s) attached boroto

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	NANC	PARTICUL/	ATE BIOACTIVE	AGENTS	
As the below named inventor(s), I/we declare that:					
This declaration is di	This declaration is directed to:				
		The attached app	olication, or	•	
	~	Application No.	10/696,829	, filed on	October 30, 2003
	.	as amende	d on		(if applicable);
I/we believe that I/we sought;	e am/are	the original and	first inventor(s) of the	subject matter wh	nich is claimed and for which a patent is
I/we have reviewed amendment specifical			nts of the above-iden	tified application, i	ncluding the claims, as amended by any
material to patentable	ility as de etween tl	fined in 37 CFR ne filing date of	1.56, including for co	ontinuation-in-part	ice all information known to me/us to be applications, material information which or PCT International filing date of the
to be true, and furth	ner that ti r imprisor	hese statements	were made with the	knowledge that v	n on information and belief are believed willful false statements and the like are lize the validity of the application or any
FULL NAME OF INV	•	•			
Inventor one: Michael J. Bassett					
mventor one.				·	
Signature:		ity	Citizen of	USA	
Signature:	Ben	M	Citizen of	USA	
Signature:	Ben	wy		•	
Signature:	Ben	My.		•	
Signature:	Ben	My .	Citizen of	•	
Signature: Inventor two: Signature: Inventor three:	Ben	My .	Citizen of	f:	
Signature:	Ben	My .	Citizen of Citizen of	f:	
Signature:	Ben		Citizen of Citizen of	f:	

PTO/SB/81 (06-03)
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PADEMAR

Application Number	10/696,829
Filing Date	October 30, 2003
First Named Inventor	Mark R. Kreitz
Title	Nanoparticulate Bioactive Agents
Art Unit	
Examiner Name	
Attorney Docket Number	SPS 102/105

I hereby ap	ppoint:							
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Pa	trea L. Pabst		31,2	31,284				
Ri	vka D. Monheit		48,73	31				
То	dd S. Hofmeister		53,02	29				
as my/our a Trademark	attorney(s) or agent(s Office connected the	s) to prosecute the application ider erewith.	ntified above,	and to trar	nsact all business in	the United States Patent and		
Y	ognize or change the	correspondence address for the	above-identific	ed applicat	tion to:			
	ne above-mentioned	Customer number:						
OR								
Т	he address associate	ed with Customer Number:	2	3579				
OR								
	Firm or Individual Name Patrea L. Pabst; Holland & Knight LLP							
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Addı		1201 West Peachtree Street,	, N.E.					
City		Atlanta		State	GA	Zip 30309-3400		
Cou		USA		<u> </u>	(40.4) 017 0500	······································		
I am the:	phone	(404) 817-8473		Fax	(404) 817-8588			
	nnligant/Invantor							
	pplicant/Inventor. ssignee of record of t	he entire interest. See 37 CFR 3.7	71.					
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)								
		SIGNATURE of App	plicant or As	signee of	Record			
Name	Ze'ev Ska	ud						
Signature Cabo								
Date	November	24, 2003			Telephone	(401) 334-7800		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.								
*Tota	al of	forms are submitted.						

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STATE	EMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Mark R. Kreitz, Yong	g S. Jong, Edith Mathiowitz, David J. Enscore, and Michael J. Bassett
Application No./Patent No.: 10/696,829	Filed/Issue Date: October 30, 2003
Entitled: Nanoparticulate Bioactive Agen	its
Spherics, Inc.	, a
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. the assignee of the entire right, title, and interest in the state of the entire right.	terest; or
2. an assignee of less than the entire right, titl The extent (by percentage) of its ownership in the patent application/patent identified above by	interest is ———— %
	oatent application/patent identified above. The assignment was recorded Office at Reel, Frame, or for which a copy thereof is
OR	
B. [] A chain of title from the inventor(s), of the pobelow:	atent application/patent identified above, to the current assignee as shown
Reel, Frame	United States Patent and Trademark Office at, or for which a copy thereof is attached.
2. From: The document was recorded in the table and the table are recorded in table are recorded in the table are recorded in table are recorde	To: United States Patent and Trademark Office at, or for which a copy thereof is attached.
The document was recorded in the U	To:
[] Copies of assignments or other documents in [NOTE: A separate copy (i.e., the original ass	the chain of title are attached. signment document or a true copy of the original document) accordance with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is November 24, 2003	s authorized to act on behalf of the assignee. 2e'ev Shaked
Date	Typed or printed name
(401) 334–7800	Stake
Telephone number	Signature
	President a CEO

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

We, Mark R. Kreitz of 59 Pitman Street, Providence, Rhode Island 02906; Yong S. Jong f 198 Wayland Avenue, Apartment 4, Providence, Rhode Island 02906; Edith Mathiewitz of 184 Rawson Road, Brookline, Massachusetts 02445; David J. Enscore of 2 Red Oak Drive, Sudbury, Massachusetts 01776; and Michael J. Bassett of 111-D N. Broadway, Apartment 207, Rumford, Rhode Island 02916, in consideration of ten dollars and other valuable consideration paid to us by Spherics, Inc., a corporation of the State of Delaware, having its principal place of business at 701 George Washington Highway, Lincoln, Rhode Island 02865 (hereinafter "said Assignee"), the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "Nanoparticulate Bioactive Agents" described in U.S. Serial No. 10/696,829 filed in the United States Patent and Trademark Office on October 30, 2003, by Mark R. Kreitz, Yong S. Jong, Edith Mathiowitz, David J. Enscore, and Michael J. Bassett, and in the provisional applications to which priority is claimed, being U.S. Provisional Application Serial Nos. 60/490,343 filed on July 25, 2003, and 60/423,093 filed on October 30, 2002, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said Assignee and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said Assignee, with specifications and claims in such form as shall be approved by the counsel of said Assignee, and to vest and confirm in said Assignee, its successors and assigns, the legal title to all such patents.

Title: "Nanoparticulate Bioactive Agents"
By: Mark R. Kreitz, Yong S. Jong, Edith Mathiewitz,
David J. Enscore, and Michael J. Bassett
Filed: October 30, 2003
ASSIGNMENT.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said Assignee, its successors and assigns.

WITNESS my hand and seal this 20th day of 1000 mber , 200	3
Mark R. Kreitz	<u>.</u>
County of Providence	
Then personally appeared the above named Mark R. Kreitz and acknowledged the foregoing instrument to be his free act and deed, before me this day of	e
Movember, 2003.	
My Commission expires: 17706	

Title: "Nanoparticulate Bioactive Agents"

By: Mark R. Kreitz, Yong S. Jong, Edith Mathiowitz,
David J. Enscore, and Michael J. Bassett

Filed: October 30, 2003

ASSIGNMENT.

Title: "Nanoparticulate Bioactive Agents"

By: Mark R. Kreitz, Yong S. Jong, Edith Mathiowitz,
David J. Enscore, and Michael J. Bassett
Filed: October 30, 2003

ASSIGNMENT...

WITNESS my hand and seal this	day of November, 2003.
	Edith Mathiewitz
State of Rhode Island County of Yovidence	
Then personally appeared the above nan foregoing instrument to be her free act and deed	ned Edith Mathiowitz and acknowledged the
	Washin Mason
My Commission expires:	Notary Public

Title: "Nanoparticulate Bioactive Agents"

- By: Mark R. Kreitz, Yong S. Jong, Edith Mathiowitz,

David J. Enscore, and Michael J. Bassett

Filed: October 30, 2003

ASSIGNMENT

WITNESS my hand and seal this 14 day of DOVEMBER, 2003.
David J. Enscore
Massachusetts
State of Rhode Island
County of Middlesex
Then personally appeared the above named David J. Enscore and acknowledged the
foregoing instrument to be his free act and deed, before me this day of
Morenter, 2003.
Jake Maket
Notary Public
My Commission expires: May 8, 2009
<i>V</i>

Title: "Nanoparticulate Bioactive Agents"

By: Mark R. Kreitz, Yong S. Jong, Edith Mathiowitz,
David J. Enseore, and Michael J. Bassett
Filed: October 30, 2003

ASSIGNMENT...

WITNESS my hand and seal this	day of Ander , 2003.
<u>-</u>	Michael J. Bassett
State of Rhode Island County of Providence	7 5 77
Then personally appeared the above named	l Michael J. Bassett and acknowledged
the foregoing instrument to be his free act and dee	d, before me this day of
	adbia Lin Moran
My Commission expires:	Notary Public
The state of the s	

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